

TRANSMITTAL FORM

Application Number	09/390,928
Filing Date	September 7, 1999
First Named Inventor	Duursma
Art Unit	2153
Examiner Name	Choudhary, A.
Attorney Docket No.	CTX-019CP (1545/36)
BATCH NO. (after allowance)	Not applicable
Patent No.	Not applicable
Issue Date	Not applicable

ENCLOSURES (check all that apply)

- | | | |
|--|---|---|
| <input type="checkbox"/> Fee Transmittal Form
<input type="checkbox"/> Check Attached
<input type="checkbox"/> Copy of Fee Transmittal Form
<input type="checkbox"/> Amendment/Response
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<input type="checkbox"/> After Final
<input type="checkbox"/> Affidavits/declaration(s)
<input type="checkbox"/> Letter to Official Draftsperson including Drawings [Total Sheets ____]
<input type="checkbox"/> Petition for One-Month Extension of Time
<input type="checkbox"/> Copy of Petition
<input checked="" type="checkbox"/> Fourth Supplemental Information Disclosure Statement (2 pgs.)
<input checked="" type="checkbox"/> Fourth Supplemental Form PTO-1449 (1 pg.)
<input type="checkbox"/> Copy of cited References
<input type="checkbox"/> Certified Copy of Priority Document(s)
<input type="checkbox"/> Sequence Listing submission
<input type="checkbox"/> Paper Copy/CD
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<input type="checkbox"/> Formal Drawing(s)
<input type="checkbox"/> Request For Continued Examination (RCE) Transmittal
<input type="checkbox"/> Associate Power of Attorney
<input type="checkbox"/> Terminal Disclaimer
<input type="checkbox"/> Executed Declaration and Power of Attorney for Utility or Design Patent Application
<input type="checkbox"/> Small Entity Statement
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<input type="checkbox"/> Certificate of Correction (in duplicate) | <input type="checkbox"/> Notice of Appeal to Board of Patent Appeals and Interferences
<input type="checkbox"/> Appeal Brief (in triplicate)
<input type="checkbox"/> Status Inquiry
<input checked="" type="checkbox"/> Return Receipt Postcard
<input checked="" type="checkbox"/> Certificate of First Class Mailing under 37 C.F.R. 1.8 (1 pg.)
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<input type="checkbox"/> Additional Enclosure(s) (please identify below) |
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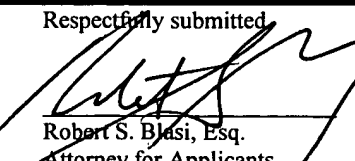
MAY 15 2003

Technology Center 2100

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Respectfully submitted,

 Date: May 12, 2003
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 Robert S. Blasi, Esq.
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GP 2153

PATENT
Attorney Docket No. CTX-019CP
(1545/36)

[Handwritten signature]

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

APPLICANTS: Duursma et al.
APPLICATION NO. 09/390,928 ART UNIT: 2153
FILING DATE: September 7, 1999 EXAMINER: Choudhary, A.
TITLE: An Apparatus and Method for Determining a Program
Neighborhood for a Client Node in a Client-Server Network
Using Markup Language Techniques

CERTIFICATE OF FIRST CLASS MAILING UNDER 37 C.F.R. 1.8

I hereby certify that this correspondence, and any documents referred to as enclosed herein, is/are being deposited with the United States Postal Service as first class mail, postage prepaid, in an envelope addressed to Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on the 12th day of May, 2003.

[Handwritten signature]
Irja Zarembok

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

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Submitted herewith are:

Transmittal Form (1 pg.);
Fourth Supplemental Information Disclosure Statement (2 pgs.);
Fourth Supplemental Form PTO-1449 (1 pg.); and
Return Receipt Postcard.



PATENT
Attorney Docket No. CTX-019CP
(1545/36)

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

APPLICANTS: Duursma et al.
SERIAL NO.: 09/390,928 GROUP NO.: 2153
FILING DATE: September 7, 1999 EXAMINER: Choudhary, A.
TITLE: An Apparatus and Method for Determining a Program Neighborhood for a Client Node in a Client-Server Network Using Markup Language Techniques

Commissioner for Patents
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Alexandria, VA 22313-1450

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FOURTH SUPPLEMENTAL INFORMATION
DISCLOSURE STATEMENT

In accordance with the provisions of 37 C.F.R. 1.97 and 1.98, the Applicants hereby make of record the patents and publications (AH-AM; CF-CG) listed on the accompanying Fourth Supplemental Form PTO-1449, and other information contained herein, for consideration by the Examiner in connection with the examination of the above-identified patent application. Per 37 C.F.R. 1.98(d), these patents and publications were previously submitted to, or cited by, the Office in U.S. Patent Application No. 09/252,391, which is relied upon in the present case for an earlier effective filing date under 35 U.S.C. §120, and, therefore, paper copies of these references are not enclosed. Should the Examiner require paper copies of some or all of these references, the Examiner is asked to contact the Undersigned at the telephone number listed below.

REMARKS

In accordance with the provisions of 37 C.F.R. 1.97, this statement is being filed (CHECK ONE):

- ☒ (1) within three (3) months of the **filing date** of a national application other than a continued prosecution application under 37 C.F.R. 1.53(d), or within three (3) months of the **date of entry of the national stage** as set forth in 37 C.F.R. 1.491 in an international application, or before the mailing of the **first Office action** on the merits, or before the mailing of a **first Office action** after the filing of a request for continued examination under 37 C.F.R. 1.114; or
- ☐ (2) after the period defined in (1) but before the mailing date of a **final action** or a **notice of allowance** under 37 C.F.R. 1.311, and

- ☐ the requisite Statement is below, **OR**
- ☐ the requisite fee under 37 C.F.R. 1.17(p), namely **\$180.00**, is included herein, or
- ☐ (3) after the mailing date of a **final action** or **notice of allowance** but before the payment of the **issue fee**, **AND**
- ☐ the requisite Statement is below, **AND**
- ☐ the requisite petition fee under 37 C.F.R. 1.17(p), namely **\$180.00** is included herein.

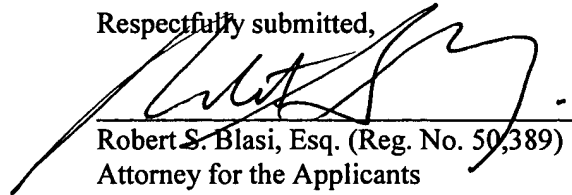
It is respectfully requested that each of the patents and publications listed on the attached Fourth Supplemental Form PTO-1449, and other information contained herein, be made of record in this application.

STATEMENT

As required under 37 C.F.R. 1.97(e), Applicant(s), through the undersigned, hereby state either that:

- ☐ 1. Each item of information contained in the Information Disclosure Statement was first cited in any communication from a foreign patent office in a counterpart foreign application **not more than three months** prior to the filing of the Information Disclosure Statement; or
- ☐ 2. No item of information contained in the Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart foreign application, and, to the knowledge of the person signing this Statement after making reasonable inquiry, no item of information contained in the Information Disclosure Statement was known to **any individual** designated in 37 C.F.R. 1.56(c) **more than three months** prior to the filing of the Information Disclosure Statement.

Respectfully submitted,



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